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|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------|--|----------------------------------------------------------------|--|
| FORM PCT/USMAY02 REV. 1.2 | | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | EXC. NO. / DOCKET NUMBER | |
| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED ELECTED OFFICE (DO EO US) CONCERNING A FILING UNDER 35 U.S.C. 371 | | | | U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 09/914532 | |
| INTERNATIONAL APPLICATION NO. PCT/EP00/01578 | INTERNATIONAL FILING DATE 25 February 2000 | PRIORITY DATE CLAIMED 8 March 1999 | | | |
| TITLE OF INVENTION OLIGOMERIZATION OF C6-OLEFINS | | | | | |
| APPLICANT(S) FOR DO EO US MAAS Heiko et al. | | | | | |
| Applicant herewith submits to the United States Designated Elected Office (DO EO US) the following items and other information: | | | | | |
| 1. <input checked="" type="checkbox"/> | This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. | | | | |
| 2. <input type="checkbox"/> | This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. | | | | |
| 3. <input checked="" type="checkbox"/> | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below. | | | | |
| 4. <input checked="" type="checkbox"/> | The US has been elected by the expiration of 19 months from the priority date (Article 31). | | | | |
| 5. <input checked="" type="checkbox"/> | A copy of the International Application as filed (35 U.S.C. 371(e)(2)) | | | | |
| a. <input type="checkbox"/> | is attached hereto (required only if not communicated by the International Bureau). | | | | |
| b. <input checked="" type="checkbox"/> | has been communicated by the International Bureau. | | | | |
| c. <input type="checkbox"/> | is not required, as the application was filed in the United States Receiving Office (RO US). | | | | |
| 6. <input checked="" type="checkbox"/> | An English language translation of the International Application as filed (35 U.S.C. 371(e)(2)) | | | | |
| a. <input checked="" type="checkbox"/> | is attached hereto. | | | | |
| b. <input type="checkbox"/> | has been previously submitted under 35 U.S.C. 154(d)(4). | | | | |
| 7. <input checked="" type="checkbox"/> | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(e)(3)) | | | | |
| a. <input type="checkbox"/> | are attached hereto (required only if not communicated by the International Bureau). | | | | |
| b. <input type="checkbox"/> | have been communicated by the International Bureau. | | | | |
| c. <input type="checkbox"/> | have not been made; however, the time limit for making such amendments has NOT expired. | | | | |
| d. <input checked="" type="checkbox"/> | have not been made and will not be made. | | | | |
| 8. <input type="checkbox"/> | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(e)(3)). | | | | |
| 9. <input checked="" type="checkbox"/> | An oath or declaration of the inventor(s) (35 U.S.C. 371(e)(4)). | | | | |
| 10. <input checked="" type="checkbox"/> | An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(e)(5)). | | | | |
| 11. <input type="checkbox"/> | A copy of the International Preliminary Examination Report (PCT IPEA 409). | | | | |
| 12. <input checked="" type="checkbox"/> | A copy of the International Search Report (PCT ISA 210). | | | | |
| Items 13 to 20 below concern document(s) or information included: | | | | | |
| 13. <input type="checkbox"/> | An Information Disclosure Statement under 37 CFR 1.97 and 1.98. | | | | |
| 14. <input type="checkbox"/> | An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. | | | | |
| 15. <input checked="" type="checkbox"/> | A FIRST preliminary amendment. | | | | |
| 16. <input type="checkbox"/> | A SECOND or SUBSEQUENT preliminary amendment. | | | | |
| 17. <input type="checkbox"/> | A substitute specification. | | | | |
| 18. <input type="checkbox"/> | A change of power of attorney and/or address letter. | | | | |
| 19. <input type="checkbox"/> | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825 | | | | |
| 20. <input type="checkbox"/> | A second copy of the published international application under 35 U.S.C. 154(d)(4). | | | | |
| 21. <input type="checkbox"/> | A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). | | | | |
| 22. <input type="checkbox"/> | Certificate of Mailing by Express Mail | | | | |
| 23. <input checked="" type="checkbox"/> | Other items or information: | | | | |
| Notice for Consideration of Documents Cited in International Search Report, Notice of Priority PCT/IB/304/Amended Sheets (Pages 11 and 12) Drawings (1 sheet) | | | | | |

| | | |
|---------------------------------------------------|-------------------------------------------------|------------------------------------------|
| U.S. APPLICATION NO. OR KNOWN NUMBER 04 714532 | INTERNATIONAL APPLICATION NO. PCT EP00 01578 | ATTORNEY'S DOCKET NUMBER 213127US0PCT |
|---------------------------------------------------|-------------------------------------------------|------------------------------------------|

24 The following fees are submitted:

BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :

- | | |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|
| <input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO | \$1000.00 |
| <input checked="" type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO | \$860.00 |
| <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO | \$710.00 |
| <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) | \$690.00 |
| <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) | \$100.00 |

CALCULATIONS - PLEASE ONLY

ENTER APPROPRIATE BASIC FEE AMOUNT =

\$860.00

Surcharge of **\$130.00** for furnishing the oath or declaration later than **20** months from the earliest claimed priority date (37 CFR 1.492 (e)).

\$0.00

| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | |
|-------------------------------------------------|--------------|--------------|-----------|---------------|
| Total claims | 9 - 20 | 0 | x \$18.00 | \$0.00 |
| Independent claims | 1 - 3 = | 0 | x \$80.00 | \$0.00 |
| Multiple Dependent Claims (check if applicable) | | | | \$0.00 |

TOTAL OF ABOVE CALCULATIONS =

\$860.00

- Applicant claims small entity status. (See 37 CFR 1.27). The fees indicated above are reduced by 1/2.

\$0.00

SUBTOTAL =

\$860.00

Processing fee of **\$130.00** for furnishing the English translation later than **20** months from the earliest claimed priority date (37 CFR 1.492 (f)).

\$0.00

TOTAL NATIONAL FEE =

\$860.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable).

\$0.00

TOTAL FEES ENCLOSED =

\$860.00

| | |
|---------------------------|----|
| Amount to be: refunded | \$ |
| charged | \$ |

- a. A check in the amount of **\$860.00** to cover the above fees is enclosed.
- b. Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. **15-0030**. A duplicate copy of this sheet is enclosed.
- d. Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:



22850

Surinder Sachar
Registration No. 34,423

Surinder Sachar

SIGNATURE

Norman F. Oblon

NAME

24.618

REGISTRATION NUMBER

Aug. 30 2001

DATE

IN RE APPLICATION OF MAAS Heiko et al.

SERIAL NO.: New U.S. PCT Application (Based on PCT EP00 01578)

FILED: HEREWITH

FOR: OLIGOMERIZATION OF C₆-OLEFINSASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

Sir:

Transmitted herewith is an amendment in the above-identified application.

- No additional fee is required.
- Small entity status of this application under 37 C.F.R. §1.9 and §1.27 has been established by a verified statement previously submitted.
- Small entity status of this application under 37 C.F.R. §1.9 and §1.27 has been established by a verified statement submitted herewith.
- Additional documents filed herewith: PCT Transmittal Letter Notice of Priority Declaration
English Translation of Specification Request for Consideration PCT IB 304 Drawings (1 sheet)
Preliminary Amendment International Search Report Check for \$860.00 Amended Sheets (Pages 11 and 12)

The fee has been calculated as shown below.

| | (Col. 1) | | (Col. 2) | (Col. 3) | SMALL ENTITY | OTHER THAN A SMALL ENTITY | |
|------------------------------------------------|----------|-------|----------|----------|--------------|---------------------------|--------|
| TOTAL | * 9 | MINUS | ** 20 | = 0 | X9 = \$ | X18 = \$.00 | |
| INDEP | * 1 | MINUS | *** 3 | = 0 | X40 = \$ | X80 = \$.00 | |
| FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM | | | | +135= | \$ | +270= | \$ |
| | | | | TOTAL | \$ | TOTAL | \$.00 |

 A check in the amount of \$_____ is attached.

- Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.
- If these papers are not considered timely filed by the Patent and Trademark Office, then a petition is hereby made under 37 C.F.R. §1.136, and any additional fees required under 37 C.F.R. §1.136 for any necessary extension of time may be charged to deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.



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OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

Norman E. Oblon
Attorney of Record
Registration No. 24,618
Surinder Sachar
Registration No. 34,423

(703) 413-3000

*If the entry in Column 2 is less than the entry in Column 1 write "0" in Column 3.

**If the "Highest Number Previously paid for" IN THIS SPACE is less than 20 write "20" in this space.
***If the "Highest Number Previously paid for" IN THIS SPACE is less than 3 write "3" in this space.

09/914532

Rec'd PCT/AU 7 AUG 2001

DOCKET NO.: 213127US0PCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: MAAS Heiko et al.

SERIAL NO.: NEW U.S. PCT APPLICATION

FILED: HEREWITH

INTERNATIONAL APPLICATION NO.: PCT EP00 01578

INTERNATIONAL FILING DATE: February 25, 2000

FOR: OLIGOMERIZATION OF C6-OLEFINS

**REQUEST FOR PRIORITY UNDER 35 U.S.C. 119
AND THE INTERNATIONAL CONVENTION**

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In the matter of the above-identified application for patent, notice is hereby given that the applicant claims as priority:

| <u>COUNTRY</u> | <u>APPLICATION NO</u> | <u>DAY/MONTH/YEAR</u> |
|----------------|-----------------------|-----------------------|
| Germany | 199 10 103.5 | 08 March 1999 |

Certified copies of the corresponding Convention application(s) were submitted to the International Bureau in PCT Application No. PCT EP00 01578. Receipt of the certified copy(s) by the International Bureau in a timely manner under PCT Rule 17.1(a) has been acknowledged as evidenced by the attached PCT IB 304.

Respectfully submitted,
OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

Norman F. Oblon
Attorney of Record
Registration No. 24,618
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